

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: MailMail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of Fee(s) Transmittal. This certificate cannot be used for any other accompany papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

25944

7590

02/28/2005

OLIFF & BERRIDGE, PLC  
P.O. BOX 19928  
ALEXANDRIA, VA 22320

06/02/2005 HGTUMAR2

01 FC:1501  
02 FC:15041400.00 DA  
300.00 DACertificate of Mailing or Transmission  
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

00000075 09824060	(Depositor's name)
	(Signature)
	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/824,060	04/03/2001	Koji Shimazawa	109164	9270

TITLE OF INVENTION: TUNNEL MAGNETORESISTIVE EFFECTIVE ELEMENT, AND A THIN FILM MAGNETIC HEAD, A MAGNETIC HEAD DEVICE AND MAGNETIC DISK DRIVE DEVICE USING SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	05/31/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
DAVIS, DAVID DONALD	2652	360-324200

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 OLIFF & BERRIDGE, PLC

2 \_\_\_\_\_

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified, the assignee must be recorded as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

**TDK Corporation**

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

**Tokyo, Japan**The PTO did not receive the following listed item(s) a check \$1700.Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed. **Ck. No. 167149 (\$1,700.00)**
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment Deposit Account Number 15-0461 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other part interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_

**Yong S. Choi**

Date

**May 31, 2005**

Typed or printed name

Registration No.

**43,324**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 14 Alexandria, Virginia 22313-1450.

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**Sandara, Sengpheth (JCI)**

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**From:** Lindsey, Doug  
**Sent:** Thursday, June 02, 2005 9:48 AM  
**To:** Lindsey, Doug; Sandara, Sengpheth (IAP)  
**Subject:** 09824060 - \$ 1,700.00 w/ no banking info - check # 167149

I have spoken to Barbara Watts @ Oliff & Berridge (703) 836-6400.

Stamp to transmittal for "missing check". Process the sale using the deposit account. Attached a copy of this e-mail to be scanned in IFW as to why the deposit account was used to payment the fee, i.e., no banking info on the check. Forward the check and a copy of the transmittal to by attention so that I can mail the check back to the customer with an explanation.

*Douglas M. Lindsey*  
U.S. Patent & Trademark Office  
RAM Administrator  
W (703) 305-8087  
F (703) 308-6778  
Crystal Park 1 - Suite 807  
[doug.lindsey@uspto.gov](mailto:doug.lindsey@uspto.gov)

-----Original Message-----

**From:** Lindsey, Doug  
**Sent:** Thursday, June 02, 2005 9:10 AM  
**To:** Sandara, Sengpheth (IAP)  
**Subject:** 09824060 - \$ 1,700.00 w/ no banking info - check # 167149

I will call the customer today and see how they want to proceed.

*Douglas M. Lindsey*  
U.S. Patent & Trademark Office  
RAM Administrator  
W (703) 305-8087  
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[doug.lindsey@uspto.gov](mailto:doug.lindsey@uspto.gov)